10

15

20

25

30

CLAIMS

- 1. A pharmaceutical formulation comprising:
 - (i) a drug; and

(ii) a short-chain sphingolipid selected from compounds of the following formula:

wherein:

R¹ is independently:

an O-linked saccharide group; or

an O-linked polyhydric alcohol group;

or:

R¹ is independently:

an O-linked (optionally N-(C₁₋₄alkyl)-substituted amino)-C₁₋₆alkyl-phosphate group; or

an O-linked (polyhydric alcohol-substituted)-C₁₋₆alkyl-phosphate group;

R² is independently C₃₋₉alkyl,

and is independently unsubstituted or substituted;

R³ is independently C₇₋₁₉alkyl,

and is independently unsubstituted or substituted;

R⁴ is independently -H, -OH, or -O-C₁₋₄alkyl;

R^N is independently -H or C₁₋₄alkyl;

the bond marked with an alpha (α) is independently a single bond or a double bond;

if the bond marked with an alpha (α) is a double bond, then R⁵ is -H; if the bond marked with an alpha (α) is a single bond, then R⁵ is -H or -OH; the carbon atom marked (*) is independently in an R-configuration or an S-configuration;

the carbon atom marked (**) is independently in an R-configuration or an S-configuration;

and pharmaceutically acceptable salts, solvates, esters, ethers, chemically protected forms thereof.

* * 1

5

- 2. A pharmaceutical formulation according to claim 1, wherein said drug is an amphiphilic drug.
- 3. A pharmaceutical formulation according to claim 1 or 2, wherein said drug is an anthracycline.
 - 4. A pharmaceutical formulation according to claim 1 or 2, wherein said drug is an anti-proliferative anthracycline
- 15 5. A pharmaceutical formulation according to claim 1 or 2, wherein said drug is an anti-cancer anthracycline.
 - 6. A pharmaceutical formulation according to claim 1, wherein said drug is selected from: doxorubicin, idarubicin, epirubicin, aclarubicin, mitrozantrone, and daunorubicin, and salts thereof.
 - 7. A pharmaceutical formulation according to claim 1, wherein said drug is doxorubicin or doxorubicin hydrochloride.
- 25 8. A pharmaceutical formulation according to claim 1 or 2, wherein said drug is an alkaloid.
 - 9. A pharmaceutical formulation according to claim 1 or 2, wherein said drug is an anti-proliferative alkaloid

30

- 10. A pharmaceutical formulation according to claim 1 or 2, wherein said drug is an anti-cancer alkaloid.
- 11. A pharmaceutical formulation according to claim 1, wherein said drug is selected from: topotecan and camptothecin.

* * 1

- 12. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R² is independently linear.
- 13. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R² is independently saturated or partially unsaturated.
- 14. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R²
 10 is independently linear; and saturated or partially unsaturated.
 - 15. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R² is independently linear; and has from 0 to 3 carbon-carbon double bonds.
- 15 16. A pharmaceutical formulation according to any one of claim 1 to 15, wherein R² is independently unsubstituted or substituted with from 1 to 3 substituents selected from C₁₋₄alkyl, -OH, C₁₋₄alkoxy, -C(=O)OH, and -C(=O)O-C₁₋₄alkyl.
- 17. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R² is independently -(CH₂)_nCH₃, wherein n is an integer from 2 to 8.
 - 18. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R² is independently -(CH₂)_nCH₃, wherein n is an integer from 4 to 8.
- 25 19. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R² is independently -(CH₂)_nCH₃, wherein n is an integer from 6 to 8.
 - 20. A pharmaceutical formulation according to any one of claims 1 to 11, wherein R² is independently -(CH₂)₆CH₃.

30

35

5

* * *

21. A pharmaceutical formulation according to any one of claims 1 to 20, wherein the bond marked alpha is independently a double bond and R⁵ is -H.

- 22. A pharmaceutical formulation according to any one of claims 1 to 20, wherein the bond marked alpha is independently a single bond; and R⁵ is -H or -OH.
- 23. A pharmaceutical formulation according to any one of claims 1 to 20, wherein the bond marked alpha is independently -CH₂-CH₂-.
 - 24. A pharmaceutical formulation according to any one of claims 1 to 20, wherein the bond marked alpha is independently -CHOH-CH₂-.

10 ***

- 25. A pharmaceutical formulation according to any one of claims 1 to 24, wherein R³ is independently linear.
- 15 26. A pharmaceutical formulation according to any one of claims 1 to 24, wherein R³ is independently saturated or partially unsaturated.
 - 27. A pharmaceutical formulation according to any one of claims 1 to 24, wherein R³ is independently linear; and saturated or partially unsaturated.

28. A pharmaceutical formulation according to any one of claims 1 to 27, wherein R³ is independently unsubstituted or substituted with from 1 to 3 substituents selected from C₁₋₄alkyl, -OH, C₁₋₄alkoxy.

- 25 29. A pharmaceutical formulation according to any one of claims 1 to 24, wherein R³ is independently -(CH₂)_nCH₃, wherein n is an integer from 8 to 16.
 - 30. A pharmaceutical formulation according to any one of claims 1 to 24, wherein R^3 is independently -(CH₂)₁₂CH₃.

30

20

* * *

31. A pharmaceutical formulation according to any one of claims 1 to 20, wherein the molety:

$$\left.\begin{array}{c} & \alpha \\ & R^5 \end{array}\right. R^3$$

is selected from the following:

-(CH₂)₈-CH₃ (from caproic acid) ("C10"); 5 -(CH₂)₁₀-CH₃ (from lauric acid) ("C12"); -(CH₂)₁₂-CH₃ (from myristic acid) ("C14"); -(CH₂)₁₄-CH₃ (from palmitic acid) ("C16"); -(CH₂)₇-CH=CH-(CH₂)₅-CH₃ (from palmitoleic acid) ("C16"); -(CH₂)₁₆-CH₃ (from stearic acid) ("C18"); 10 -(CH₂)₇-CH=CH-(CH₂)₇-CH₃ (from oleic acid) ("C18"); -(CH₂)₉-CH=CH-(CH₂)₅-CH₃ (from vaccenic acid) ("C18"); -(CH₂)₇-[CH=CH-CH₂]₂-(CH₂)₃-CH₃ (from linoleic acid) ("C18"); $-(CH_2)_7$ -[CH=CH-CH₂]₃-CH₃ (from (9,12,15-linoleic acid) ("C18"); $-(CH_2)_4-[CH=CH-CH_2]_3-(CH_2)_3-CH_3$ (from (6,9,12-linoleic acid) ("C18"); 15 - $(CH_2)_7$ - $[CH=CH]_3$ - $(CH_2)_3$ - CH_3 (from eleostearic acid) ("C18"); -(CH₂)₁₈-CH₃ (from arachidic acid) ("C20"); -(CH₂)₆-[CH=CH-CH₂]₂-(CH₂)₆-CH₃ ("C20"); -(CH₂)₃-[CH=CH-CH₂]₃-(CH₂)₆-CH₃ ("C20"); -(CH₂)₃-[CH=CH-CH₂]₄-(CH₂)₃-CH₃ ("C20"); 20 -(CH₂)₂₀-CH₃ (from behenoic acid) ("C22"); analogs wherein the left-most -(CH₂)₂- is replaced with -CH=CH-; and analogs wherein the left-most -(CH₂)- is replaced with -CH(OH)-.

25 *

- 32. A pharmaceutical formulation according to any one of claims 1 to 31, wherein R⁴ is independently -H, -OH, -OMe, -OEt, -O(iPr), -O(nPr), -O(nBu), -O(iBu), -O(sBu), or -O(tBu).
- 33. A pharmaceutical formulation according to any one of claims 1 to 31, wherein R⁴ is independently -H, -OH, or -OMe.

- 34. A pharmaceutical formulation according to any one of claims 1 to 31, wherein R⁴ is independently -H or -OH.
- 35. A pharmaceutical formulation according to any one of claims 1 to 31, wherein R⁴ is independently -OH.

* * *

36. A pharmaceutical formulation according to any one of claims 1 to 35, wherein R^N is independently -H, -Me, or -Et.

* * *

37. A pharmaceutical formulation according to any one of claims 1 to 36, wherein the carbon atoms marked (*) and (**) have a configuration as shown in the following formula:

$$\begin{array}{c}
R^{N} & O \\
R^{1} & H \\
R^{4} & H \\
\end{array}$$

38. A pharmaceutical formulation according to any one of claims 1 to 36, wherein the carbon atoms marked (*) and (**) have the same configuration as in naturally occurring sphingosine.

* * *

25 39. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently:

an O-linked saccharide group; or an O-linked polyhydric alcohol group.

30 40. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently an O-linked saccharide group.

- 41. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently an O-linked mono-, di-, or tri-saccharide group.
- 42. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently an O-linked mono- or di-saccharide group.
 - 43. A pharmaceutical formulation according to any one of claims 1 to 42, wherein R¹ is formed from pentose and/or hexose groups.
- 10 44. A pharmaceutical formulation according to any one of claims 1 to 42, wherein R¹ is formed from a group or groups selected from:

arabinose, lyxose, ribose, or xylose; allose, altrose, glucose, mannose, gulose, idose, galactose, or talose; and derivatives thereof.

15

20

5

45. A pharmaceutical formulation according to any one of claims 1 to 42, wherein R¹ is independently an O-linked mono-, di-, or tri-saccharide group derived from:

arabinose, lyxose, ribose, or xylose;

allose, altrose, glucose, mannose, gulose, idose, galactose, or talose; sucrose, maltose, lactose, cellobiose, or galabiose;

globotriaose, isoglobotriaose, mucotriaose, lactotriaose, neolactotriaose gangliotriaose, galatriaose, mollutriaose, or antrotriaose;

(e.g., -NHC(=O)Me), or N-sulfo-amino-deoxy (e.g., -NHS(O)₂OH) derivatives.

or a derivative thereof.

46. A pharmaceutical formulation according to claim 44 or 45, wherein said saccharide group derivatives are selected from deoxy, di-deoxy, di-deoxy-di-dehydro, methoxy (-OMe), acetoxy (-OC(=O)Me), carboxylic acid (-C(=O)OH), sulfuric acid (-OSO₃H), amino-deoxy (e.g., -NH₂), N-acetyl-amino-deoxy

47. A pharmaceutical formulation according to claim 1, wherein said short-chain sphingolipid has the following formula (C₈-GlcCer):

5 48. A pharmaceutical formulation according to claim 1, wherein said short-chain sphingolipid has the following formula:

- 49. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently an O-linked polyhydric alcohol group.
- 50. A pharmaceutical formulation according to claim 49, wherein R¹ is formed from groups selected from: ethanediol (glycol), propanediol, butanediol, glycerol, and erythritol.

15

25

10

* * *

51. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently:

20 an O-linked (optionally N-(C₁₋₄alkyl)-substituted amino)-C₁₋₆alkyl-phosphate

group; or
an O-linked (polyhydric alcohol-substituted)-C₁₋₆alkyl-phosphate group.

52. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently an O-linked (optionally N-(C₁₋₄alkyl)-substituted amino)-C₁₋₆alkyl-phosphate group.

53. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently:

wherein:

q is independently an integer from 0 to 5;

Q is independently: -NH₂, -NHR^a, -NR^a₂, or -NR^a₃⁺; or:

Q is independently a polyhydric alcohol group, linked via an oxygen atom; each R^a is independently linear or branched saturated C_{1-4} alkyl.

- 10 54. A pharmaceutical formulation according to claim 53, wherein Q is independently: -NH₂, -NHR^a, -NR^a₂, or -NR^a₃⁺.
 - 55. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently:

$$\begin{array}{c}
R^{a} + \\
R^{a} +$$

15

5

wherein:

q is independently an integer from 0 to 5; and each R^a is independently a C₁₋₄alkyl group.

20 56. A pharmaceutical formulation according to any one of claims 1 to 38, wherein R¹ is independently:

57. A pharmaceutical formulation according to claim 1, wherein said short-chain sphingolipid has the following formula ("C_e-SM"):

58. A pharmaceutical formulation according to claim 1, wherein said short-chain sphingolipid has the following formula ("3-O-methyl-C₈-SM"):

5

- 59. A pharmaceutical formulation according to claim 53, wherein Q is independently a polyhydric alcohol group, linked via an oxygen atom.
- 60. A pharmaceutical formulation according to claim 59, wherein Q is formed from a group selected from: ethanediol (glycol), propanediol, butanediol, glycerol, and erythritol.

* * *

- 15
- 61. A pharmaceutical formulation according to any one of claims 1 to 60, wherein said pharmaceutical formulation additionally comprises one or more other pharmaceutically acceptable ingredients selected from pharmaceutically acceptable carriers, diluents, excipients, adjuvants, fillers, buffers, preservatives, anti-oxidants, lubricants, stabilisers, solubilisers, and surfactants.

20

62. A pharmaceutical formulation according to any one of claims 1 to 61, wherein said pharmaceutical formulation is suitable for parenteral administration.

* * *

25

30

63. A pharmaceutical formulation according to any one of claims 1 to 62, wherein the pharmaceutical formulation is a liposomal pharmaceutical formulation.

* * *

15

- 64. A liposomal pharmaceutical formulation according to claim 63, wherein the liposomes of the liposomal pharmaceutical formulation are prepared using a mixture of lipids comprising, at least, vesicle-forming lipids and said short-chain sphingolipid.
- 65. A liposomal pharmaceutical formulation according to claim 64, wherein said mixture of lipids comprises phospholipids and said short-chain sphingolipid.
- 66. A liposomal pharmaceutical formulation according to claim 64, wherein said mixture of lipids comprises phospholipids, cholesterol, and said short-chain sphingolipid.
 - 67. A liposomal pharmaceutical formulation according to claim 64, wherein said mixture of lipids comprises phosphatidylcholines, cholesterol, and said short-chain sphingolipid.
 - 68. A liposomal pharmaceutical formulation according to claim 64, wherein said mixture of lipids comprises fully hydrogenated soy phosphatidylcholine (HSPC), cholesterol, and said short-chain sphingolipid.
 - 69. A liposomal pharmaceutical formulation according to claim 64, wherein said mixture of lipids comprises dipalmitoyl-phosphatidylcholine (DPPC), cholesterol, and said short-chain sphingolipid.
- 25 70. A liposomal pharmaceutical formulation according to any one of claims 64 to 69, wherein said mixture of lipids additionally comprises a vesicle-forming lipid which is derivatized with a polymer chain.
- 71. A liposomal pharmaceutical formulation according to any one of claims 64 to 69, wherein said mixture of lipids additionally comprises a phosphatidylethanolamine (PE) which is derivatized with polyethyleneglycol (PEG).
- 72. A liposomal pharmaceutical formulation according to any one of claims 64 to 69, wherein said mixture of lipids additionally comprises N-(carbonylmethoxypolyethylene glycol 2000)-1,2-distearoyl-sn-glycero-3-phosphoethanolamine sodium salt (MPEG2000-DSPE).

* * *

- 73. A liposomal pharmaceutical formulation according to any one of claims 64 to 72, wherein the amount of short-chain sphingolipid1-25 mol%.
 - 74. A liposomal pharmaceutical formulation according to any one of claims 64 to 73, wherein the amount of cholesterol, if present, is 20-50 mol%.
- 10 75. A liposomal pharmaceutical formulation according to any one of claims 64 to 74, wherein the amount of phospholipid, excluding phospholipid which is derivatized with a polymer chain, if present, is 45-70 mol%.
- 76. A liposomal pharmaceutical formulation according to any one of claims 64 to 75, wherein the amount of vesicle-forming lipid which is derivatized with a polymer chain, if present, is 1-15 mol%.
 - 77. A liposomal pharmaceutical formulation according to any one of claims 64 to 76, wherein said liposomes of said liposomal pharmaceutical formulation comprise 0.05-0.50 µmol drug per µmol phospholipid.

* * *

78. A liposomal pharmaceutical formulation according to any one of claims 64 to 77, wherein said liposomes additionally comprise other pharmaceutically acceptable ingredients selected from: ammonium sulfate, histidine, hydrochloric acid and/or sodium hydroxide, sucrose, and water-for-injection.

* * *

30

25

20

5

79. A liposomal pharmaceutical formulation according to any one of claims 64 to 78, wherein said liposomes have a mean diameter of 50 to 150 nm.

* * *

WO 2005/046637 PCT/IB2004/003886

- 80 -

80. Caelyx® or Doxil® liposomes post-inserted with a short-chain sphingolipid as defined in any one of claims 1 and 12 to 60.

5

- 81. A pharmaceutical formulation according to any one of claims 1 to 80, for use in a method of treatment of the human or animal body by therapy.
- 82. Use of:

(i) a drug, as defined in any one of claims 1 to 11; and

- 10
- (ii) a short-chain sphingolipid, as defined in any one of claims 1 and 12 to 60; in the manufacture of a medicament for the treatment of a proliferative condition in a human or animal patient.
- 15 83. Use according to claim 82, wherein said proliferative condition is cancer.
 - 84. Use according to claim 82, wherein the drug is doxorubicin or a salt thereof; and the proliferative condition is a proliferative condition that is treated by doxorubicin or a salt thereof.

20

- 85. A method of treating a proliferative condition comprising administering to a patient in need of treatment an effective amount of a pharmaceutical formulation according to any one of claims 1 to 80.
- 25 86. A method according to claim 85, wherein said proliferative condition is cancer.
 - 87. Use according to claim 85, wherein the drug is doxorubicin or a salt thereof; and the proliferative condition is a proliferative condition that is treated by doxorubicin or a salt thereof.

30

88. A method of making a pharmaceutical formulation according to any one of claims 1 to 79, comprising the step of admixing said drug and said short-chain sphingolipid.

10

15

20

- 89. A method of making a liposomal pharmaceutical formulation according to any one of claims 63 to 80, comprising the steps of:
 - (a) forming a lipid mixture comprising, at least, vesicle-forming lipids and said short-chain sphingolipid;
 - (b) forming liposomes from said lipid mixture; and
 - (c) adding said drug to the liposomes formed in (b); thereby forming liposome-entrapped drug.
- 90. A method of making a liposomal pharmaceutical formulation according to any one of claims 63 to 79, comprising the steps of:
 - (a) forming a lipid mixture comprising, at least, vesicle-forming lipids and said short-chain sphingolipid;
 - (b) adding said drug to said lipid mixture;
 - (c) forming liposomes from the mixture formed in (b); thereby forming liposome-entrapped drug.

* * *

91. A method of increasing the bioavailability and/or cellular uptake of a drug, as defined in any one of claims 1 to 11, which method includes the step of co-administering said drug with a short-chain sphingolipid, as defined in any one of claims 1 and 12 to 60.